



INVESTOR IN PEOPLE

The Patent Office

Concept House

Cardiff Road

Newport

South Wales

NP10 8QQ

REC'D 15 AUG 2003

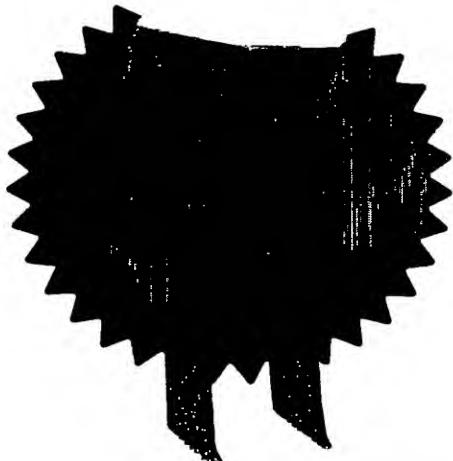
WIPO PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation and Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein together with the Statement of inventorship and of right to grant of a Patent (Form 7/77), which was subsequently filed.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

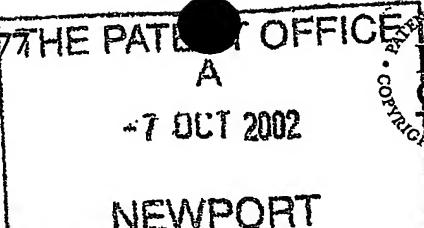
Dated 17 July 2003

**PRIORITY
DOCUMENT**

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

BEST AVAILABLE COPY

An Executive Agency of the Department of Trade and Industry



0700102 E74630-2 000318
P01/7700 01/00-0223154.6

11/1777
7 OCT 2002

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference

KJL/CT/P5093

2. Patent application number

(The Patent Office will fill in this part)

0223154.6

3. Full name, address and postcode of the or of each applicant (underline all surnames)

Epichem Limited
Power Road
Bromborough
Wirral
CH62 3QF
United Kingdom

6094668002

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

4. Title of the invention

A method and apparatus for monitoring liquid levels within a vessel

5. Name of your agent (if you have one)

ROYSTONS,

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Tower Building,
Water Street,
Liverpool. L3 1BA
Merseyside.

Patents ADP number (if you know it)

1438001 ✓

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)

Date of filing
(day / month / year)

UK

0216502.5

17-JUL-02

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

YES

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body.

See note (d)

Patents Form 1/77

SEARCHED

9. Enter the number of sheets for any of the following items you are filing with this form.
Do not count copies of the same document

Continuation sheets of this form	11
Description	
Claim(s)	3
Abstract	1
Drawing(s)	5 + 5

10. If you are also filing any of the following, state how many against each item.

Priority documents	-
Translations of priority documents	-
Statement of inventorship and right to grant of a patent (Patents Form 7/77)	-
Request for preliminary examination and search (Patents Form 9/77)	1
Request for substantive examination (Patents Form 10/77)	-
Any other documents (Please specify)	-

11.

I/We request the grant of a patent on the basis of this application.

Signature

ROYSTONS

Raynor

Date

04/09/02

12. Name and daytime telephone number of person to contact in the United Kingdom

K.J. Lees - 0151-236 5147/1417

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

Surplus

1/6

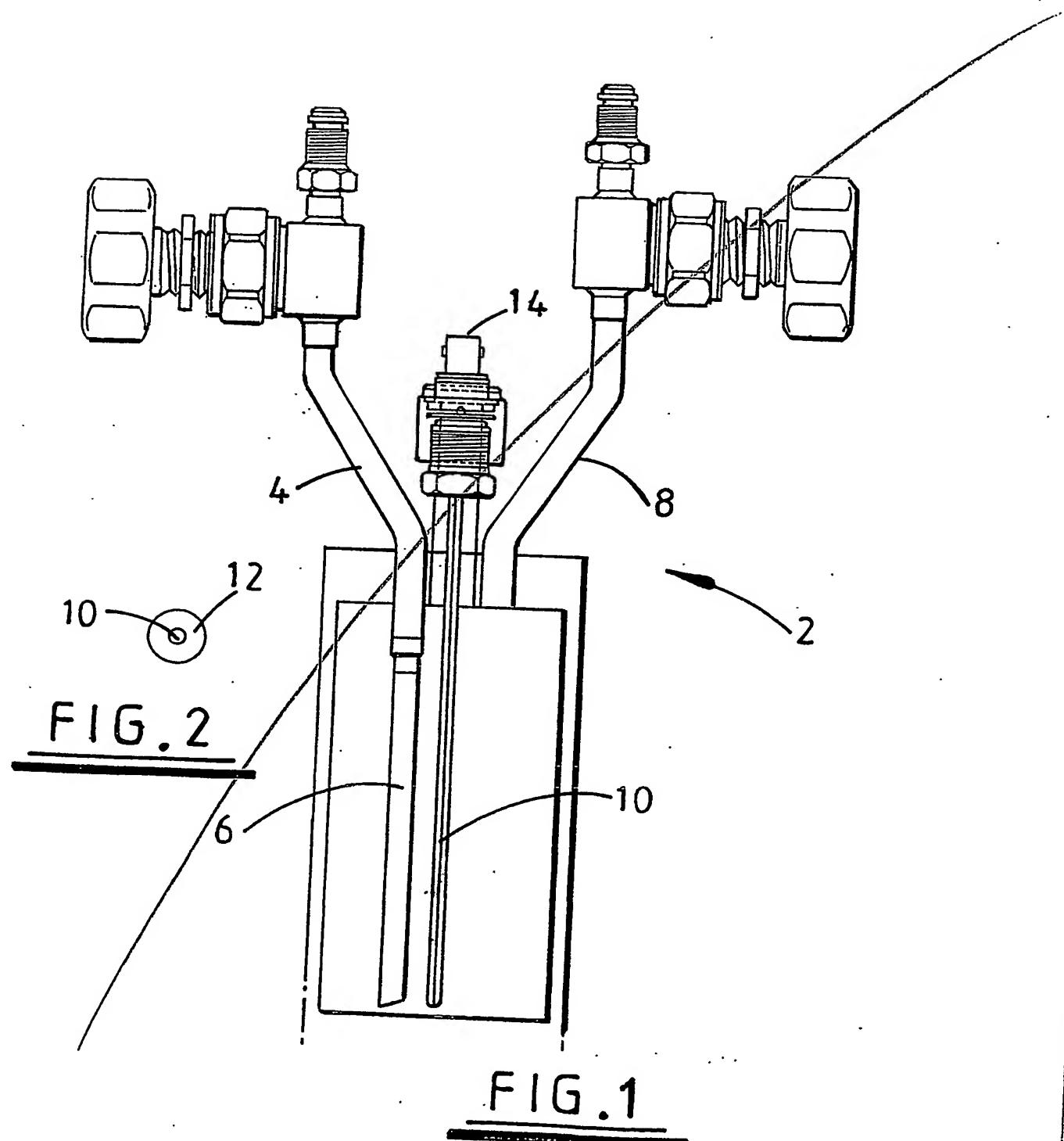
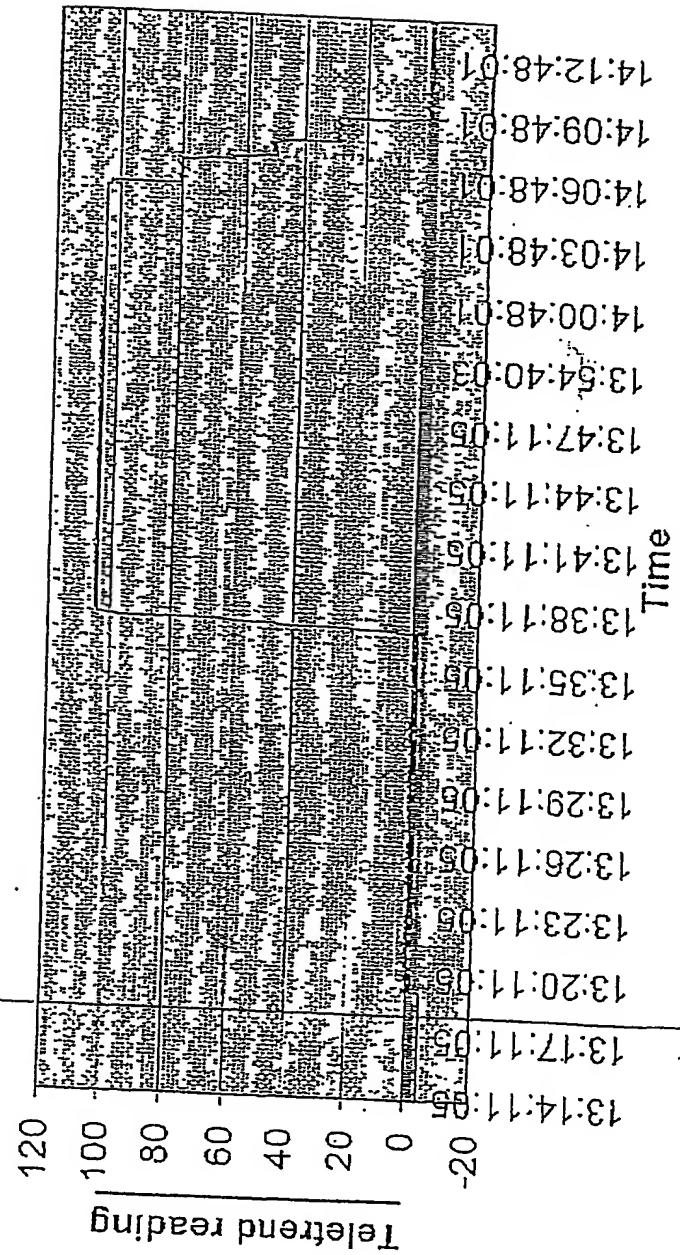


FIG. 3

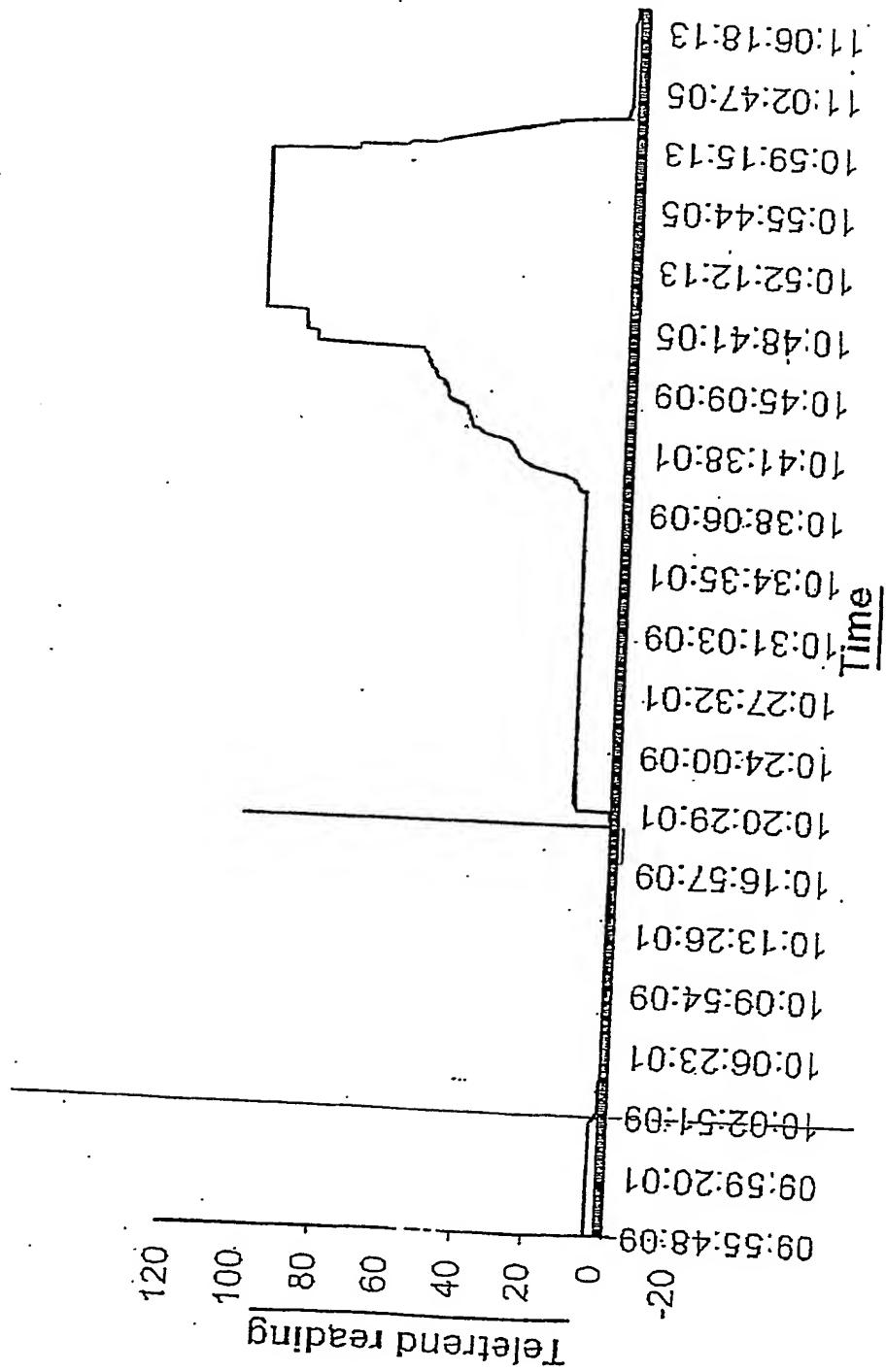


FIG. 4

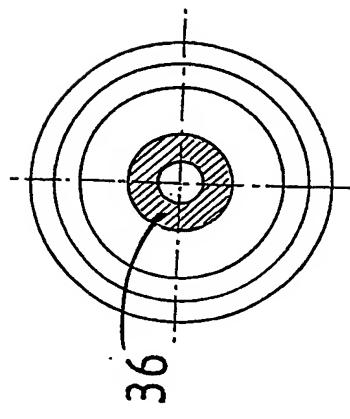


FIG. 6

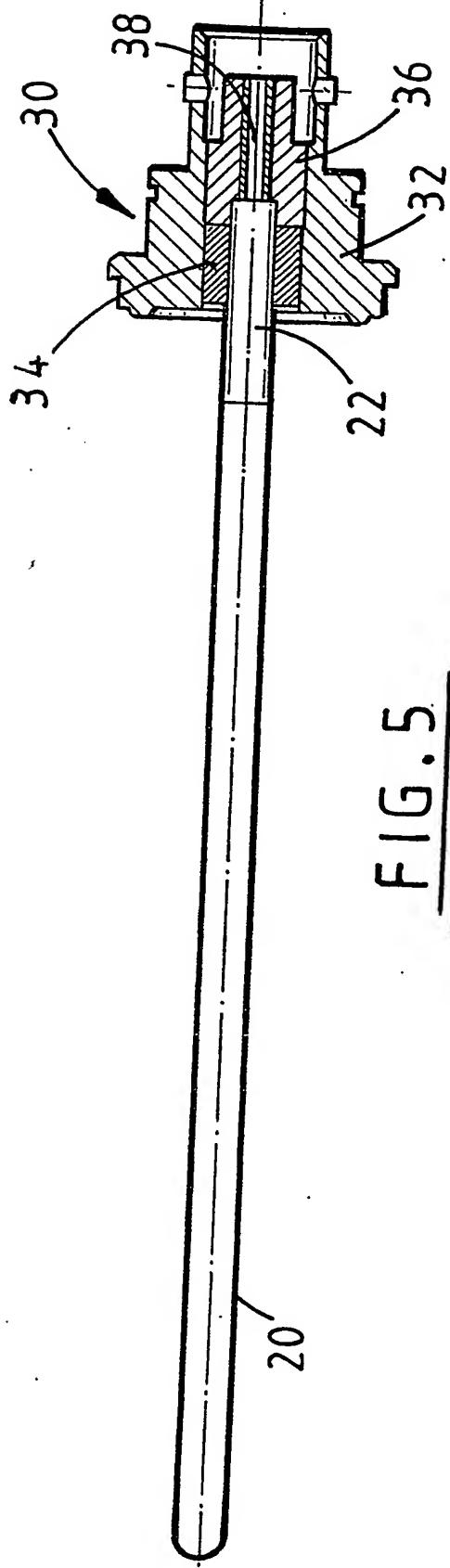


FIG. 5

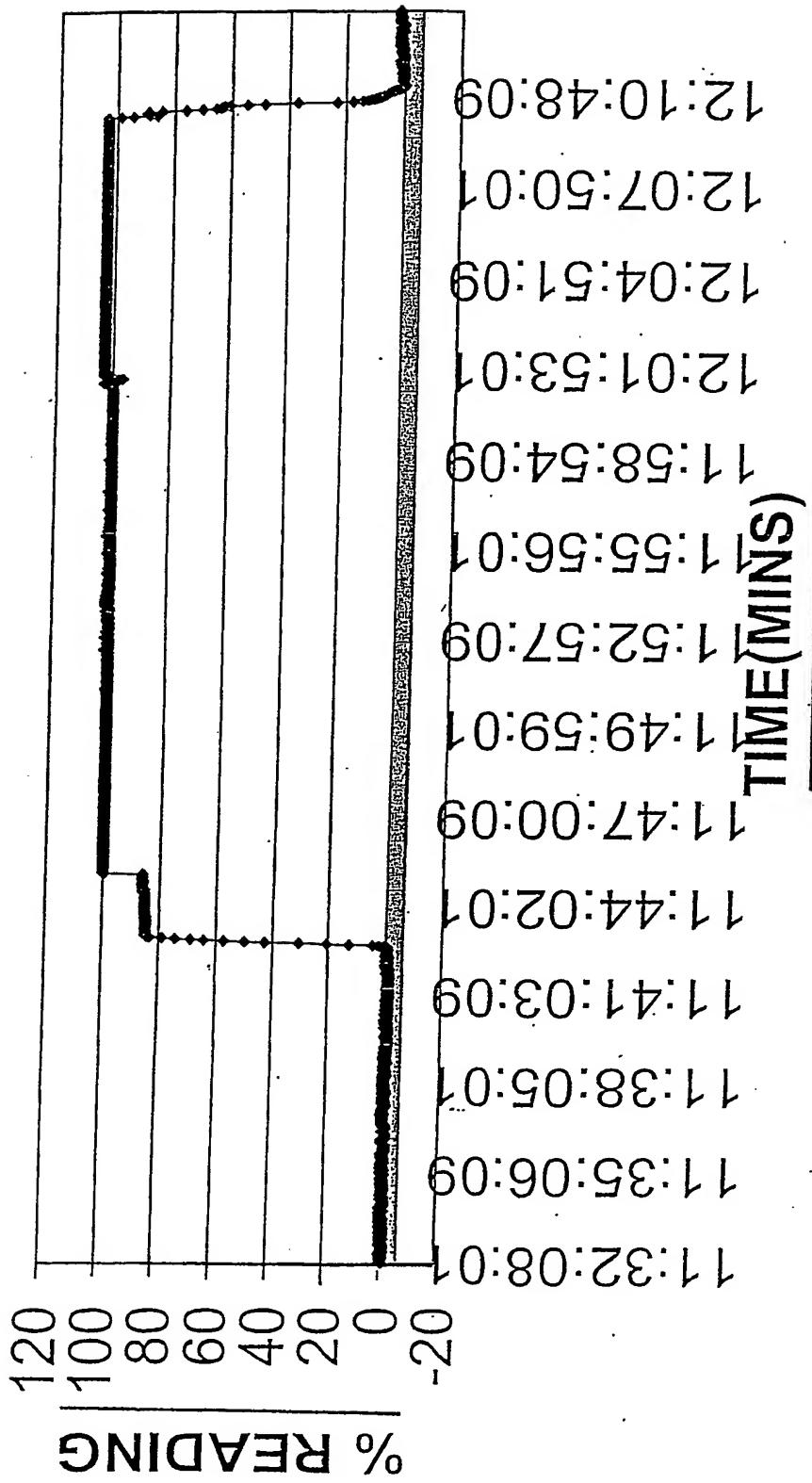


FIG. 7

9/9

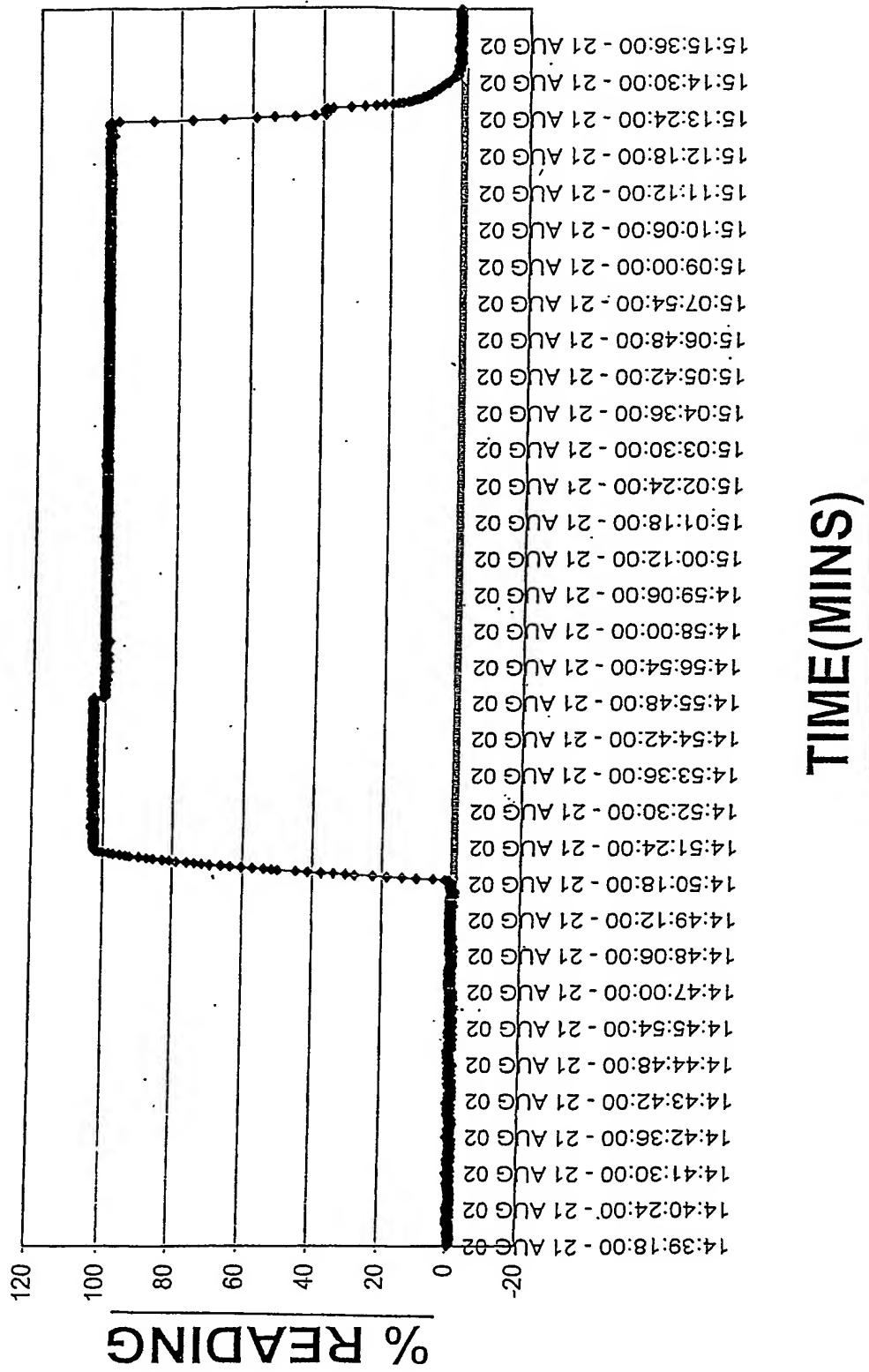


FIG. 8

Title: A Method and Apparatus for monitoring liquid levels within a vessel.

DESCRIPTION

The present invention relates to the field of measuring probes, particularly but not exclusively a probe for monitoring the level of a reactive liquid, such as a metalorganic compound, within a vessel.

Thin films of metal are fabricated upon solid substrates for use in the electronics and opto-electronics industries by a process known as Metal Organic Chemical Vapour Deposition (MOCVD). The metal precursors are generally delivered to a substrate by means of a bubbler. A bubbler containing the metal precursor material has an inlet pipe, a dip-tube and an outlet pipe. Carrier gas, such as hydrogen, helium or nitrogen, is introduced into the vessel through the inlet pipe and delivered to the bottom of the vessel through the dip-tube. The gas is able to pick up some of the vapours of the metal precursor before leaving the bubbler via the outlet pipe. The gas transports the precursor vapour to a reactor site where the precursor is decomposed to provide a deposit of the metal on a solid substrate.

The amount of precursor material in the bubbler is constantly changing with use of the apparatus. Conventional probes cannot be used due to the organometallic compounds attacking the probe. This would also lead to contamination of the precursor. The volume of precursor in the bubbler may be measured using single point measurements for high and low fill levels based on an optical system. However, this utilizes a glass tube which may break causing contamination of the contents of the bubbler. Alternatively, the bubbler may be provided without an accurate level

indicator, being changed either when a drop in performance is seen or using a calculated figure based on usage time under particular conditions. This can lead to early replacement of the bubbler when potentially another 10% of material in the bubbler could be used.

Clearly it would be desirable to provide an accurate probe for monitoring the level of organometallic precursor contained within a bubbler. However, problems arise with the provision of a suitable probe that has the necessary robustness and compatibility with the organometallic compounds with which it comes into contact. In this respect, the probe must not react with the chemicals contained within the vessel to ensure high purity metal deposits are achieved. Additionally, it should not impair the airtight seal of the bubbler since the contents are air-sensitive and the pressure within the vessel should be maintained.

It is an object of the present invention to provide a method of continuously monitoring the level of a reactive liquid in a vessel, particularly but not exclusively the level of a metalorganic compound, which aims to overcome, or at least alleviate, the abovementioned drawbacks.

A further object of the present invention is to provide an apparatus for continuously monitoring the level of a liquid in a vessel, particularly but not exclusively an metalorganic compound, that aims to overcome, or at least alleviate, the abovementioned drawbacks.

Accordingly a first aspect of the present invention provides a method for monitoring the level of a liquid in a vessel, the method comprising the steps of inserting at least one metallic probe into a vessel to act as a first electrode, hermetically sealing the probe within the vessel, providing a further electrode spaced

apart from the first electrode to form a capacitor, applying an electric current to the capacitor and monitoring the capacitance thereof.

A second aspect of the present invention provides an apparatus for monitoring the level of a liquid in a vessel, the apparatus comprising at least one metallic probe hermetically sealed within the vessel to act as a first electrode, a second electrode spaced apart from said first electrode to form a capacitor, means for applying an electric current to the capacitor and means for monitoring the capacitance thereof.

It is to be appreciated that the two spaced apart electrodes are provided in order to set up the necessary dielectric therebetween. The capacitance of the capacitor thus formed will vary with the amount of liquid between the probes thereby enabling the level of liquid within the vessel to be monitored.

Preferably the vessel itself is a metallic container, for example being of stainless steel, and acts as the second electrode. Alternatively, two parallel probes could be sealed within the apparatus to act as the first and second electrodes respectively.

The metallic probe that is inserted into the vessel may be in the form of a rod, a flat elongated plate or tube. The probe may be hollow or solid. Preferably the probe is made of stainless steel.

The probe according to the present invention is particularly suitable for use in monitoring levels of metalorganic compounds.

The probe is preferably attached to a port at the top of a vessel, the vessel generally being in the form of a bubbler, that contains an inlet and an outlet pipe. One end of the probe is preferably encased within a glass material and this is hermetically sealed within the port. More preferably, the probe is sealed within a mounting or cap

that is inserted into the port of the vessel. Preferably, the mounting is provided with electrical connections for the probe, for example in the form of a bayonet type connector, such as a BNC connector.

A coating, for example of an elastomeric material such as Teflon™, may be applied over at least a part of the probe that extends from the seal.

More preferably, at least the part of the probe that is encased in the glass material to form a metal to glass seal comprises a nickel alloy, more preferably being typically 70% nickel. More preferably, the alloy is made precipitation hardenable by the additions of aluminium and/or titanium thereto. Suitable alloys include those sold under the trade names Inconel X-750, Inconel 600 or Kovar. More preferably, an Inconel X-750 alloy is used. The glass that is sealed around this part of the probe is preferably a borosilicate glass. It is preferable for the probe to be sealed to the glass by such a matched seal.

The glass material may then be sealed within the mounting. The mounting is preferably comprised of a nickel alloy, such as Inconel X-750. The mounting preferably incorporates a gasket face seal fitting, such as a VCR profile at a connecting face and internal faces to suit the fit of an electrical connector and the glass-to-metal hermetic seal. The gasket is preferably one which is deformed on tightening to provide a secure metal-metal seal. The electrical connector is preferably surrounded by a layer of insulating material, such as a polyether ether ketone (PEEK).

Conventional means may be provided for applying an AC or DC source to the probe, together with monitoring means, such as a capacitance meter, for measuring a change in capacitance. Preferably, a recorder is also provided for recording the change in capacitance. The recorder may include display means, such as a liquid

crystal display. It is preferable for the capacitance to be continuously monitored thereby providing a continuous reading of the level of liquid in the vessel.

Preferably, the apparatus includes means for calibration of the system whereby a particular capacitance corresponds to a particular volume of liquid within the vessel. For example, the recording means could be set at a value of "0" for a capacitance recorded for an empty vessel and could be set "100" for the capacitance recorded for a full vessel. Preferably, the apparatus is calibrated to respond to particular characteristics of the liquid contained within the vessel. Additionally, the apparatus may be adapted to provide the rate of removal or addition of the liquid to the vessel.

In a preferred embodiment of the present invention there is provided a bubbler containing an metalorganic compound, the bubbler comprising a sealed metallic container having an inlet pipe, an outlet pipe and a dip-tube and further comprising a metallic probe hermetically sealed within the container, the container and the probe forming a capacitor, means for applying an electric current to the capacitor and monitoring means for measuring the capacitance thereof.

It is to be appreciated that the metallic probe may be hermetically sealed within the bubbler as hereinbefore described.

For a better understanding of the present invention and to show more clearly how it may be carried into effect reference will now be made to the following Examples in which Example 1 investigates the use of an apparatus according to one embodiment of the present invention in measuring the changing level of trimethylgallium (TMG) in a bubbler, Example 2 investigates the use of the same apparatus in measuring the changing level of trimethylaluminium (TMA) in a bubbler, Example 3 investigates the use of an apparatus according to another embodiment of

the present invention in measuring the changing level of TMA in a bubbler and Example 4 investigates the use of the same apparatus in measuring the changing level of TMG in a bubbler, and with reference to the accompanying drawings in which:-

Figure 1 is a schematic diagram of an apparatus fitted with a probe according to one embodiment of the present invention;

Figure 2 is a cross-sectional view through the probe contained in the apparatus of Figure 1;

Figure 3 is a graph showing the changing level of TMG in a bubbler with time measured using the apparatus shown in Figures 1 and 2;

Figure 4 is a graph showing the changing level of TMA in a bubbler with time measured using the apparatus shown in Figures 1 and 2;

Figure 5 is a longitudinal cross section of a probe according to another embodiment of the present invention;

Figure 6 is a transverse cross section of the probe shown in Figure 5;

Figure 7 is a graph showing the changing level of TMA in a bubbler with time using the probe shown in Figures 5 and 6; and

Figure 8 is a graph showing the changing level of TMG in a bubbler with time using the probe shown in Figures 5 and 6.

Referring to Figures 1 and 2 of the accompanying drawings, an apparatus for continuously monitoring the level of a metalorganic compound in a vessel, such as a bubbler, according to a first embodiment of the present invention is illustrated. The bubbler 2 has an inlet pipe 4 leading into a dip pipe 6 and an outlet pipe 8. A metal probe 10 is provided which extends through the centre and length of the bubbler vessel. The upper end of the probe is encapsulated in a layer of glass 12 and

hermetically sealed to the top of the bubbler to ensure that the pressure within the bubbler is maintained and that the contents of the bubbler are isolated from air. The lower end of the probe that dips into the precursor contained within the vessel is coated with an inert, heat resistant material, such as polytetrafluoroethylene (PTFE) or other elastomeric material. The top of the probe is connected to an electronic control unit which includes a power source and communicates with a monitoring device that displays a reading of the level of the liquid in the bubbler.

Example 1

An investigation was carried out to demonstrate the ability of an apparatus according to the first embodiment of the present invention to continuously monitor the level of trimethylgallium (TMG) in a bubbler. A probe as hereinbefore described was sealed within a bubbler and the monitor was zeroed when the bubbler was empty. The bubbler was then filled with TMG (ca. 180g = 157ml) and the readings set to a maximum. The material was then pushed out of the bubbler through the dip leg and into a receiver. The reading level on the Teletrend™ was continuously recorded. The recording showed that as the material was removed the reading went from 100% to 0%, as illustrated in Figure 3 of the accompanying drawings. The steepness of the slope of the graph was dependent upon the rate of material removal. Once all the material had been removed, the system was checked by dropping more material into the bubbler wherein the reading rose from 0% back to 100%.

Example 2.

An investigation was carried out to demonstrate the ability of an apparatus according to the first embodiment of the present invention to continuously monitor the level of trimethylaluminium (TMA) in a bubbler. The monitor connected to the probe

was zeroed when the bubbler was empty as described above in relation to Example 1. It was then filled with TMA (ca. 120g = 160ml) and the readings set to a maximum. The material was then pushed out of the bubbler through the dip leg and into a receiver. The reading level on the Teletrend™ was recorded. The recording demonstrated that as the material was removed the reading went from 100% to 0%, as illustrated in Figure 4 of the accompanying drawings. The steepness of the slope was dependent upon the rate of material removal.

Figures 5 and 6 of the accompanying drawing illustrate a probe according to a second embodiment of the present invention. The probe is shown prior to insertion into a vessel, such as a bubbler. The probe comprises a hollow stainless steel elongated tube 20 which is sealed within a mounting 30. The end section 22 of the tube that is to be sealed within the mounting is made of a nickel alloy, such as that sold under the trade name Inconel X-750™ which is typically 70% nickel (Ni^a). Inconel X-750 alloy is similar in characteristics to Inconel 600™ but is made precipitation harden-able by additions of aluminium and titanium. The end section 22 is hermetically sealed to a glass material 34.

Inconel 600™ is a nickel-chromium-iron alloy which has high resistance to heat and corrosion. The high nickel content gives the alloy resistance to corrosion by many organic and inorganic compounds and makes it virtually immune to chloride-ion stress corrosion cracking. Chromium confers resistance to sulphur compounds and provides resistance to oxidising conditions at high temperatures or in corrosive solutions. It also has excellent resistance to corrosion by high-purity water. The Inconel X-750 alloy has good resistance to oxidation along with a high tensile strength and creep rupture properties at temperatures to about 1300°F (700°C).

The Inconel X-750 section 22 of the probe is provided with a glass-to-metal matched seal which relies on an oxide layer to form the metal to glass seal. Glass and metal with similar coefficients of thermal expansion are chosen to ensure minimal stresses are introduced into the glass during the fusion operation. Inconel, or alternatively Kovar™ steels are best fused with borosilicate glass to provide the matched seals.

The body 32 of the mounting 30 that receives the section of the probe body that has a glass-to-metal hermetic seal is also made of a nickel alloy, for example Inconel X-750 alloy. The mounting incorporates a $\frac{1}{2}$ inch Swagelok® VCR profile at the connecting face and internal profiles to suit the fit of a BNC connector and the glass-to-metal hermetic seal. The VCR is a high integrity, high purity metal gasket face seal fitting. The gasket is deformed by raised cross-section of fitting on tightening to provide a secure metal-metal seal. A BNC insulating surround 36, for example of a polyether ether ketone material (PEEK) is positioned together with the electrode mating 38 to the top of the section 22.

Example 3.

An investigation was carried out to demonstrate the ability of an apparatus according to the second embodiment of the present invention to continuously monitor the level of TMA in a bubbler. The results shown in Figure 7 indicate the fill of TMA into the bubbler, a calibration step to read 100% full and an emptying back to 0%. Note that the liquid was pumped in and out of the bubbler using a positive pressure allowing a rapid change in level to be achieved.

Example 4

A further investigation was carried out to demonstrate the ability of an apparatus according to the second embodiment of the present invention to continuously monitor the level of TMG in a bubbler. The process was the same as that described in relation to Example 3 but the calibration step moves the reading down from 100% rather than up as in Example 3. The results are shown in Figure 8.

The results obtained in relation to Examples 3 and 4 indicate a continuous level monitoring has been achieved in the bubbler by the provision of a bubbler supplied with a probe according to the second embodiment of the present invention. A fast response time is achieved as shown by the steep gradient of the graphs on fill and emptying.

The present invention provides a measuring probe that is suitable for monitoring the level of materials in a bubbler that is robust and compatible with the organometallic compounds that are contained within the vessel. This enables the level of organometallic compounds in individual bubblers to be continuously monitored and allows customers to know when to order more material as the level of material in the bubbler is used up. This also ensures maximum utilisation of the source material within the bubbler. The glass haematic seal that connects the probe to the port of the bubbler ensures that the pressure within the vessel is retained and that the contents of the vessel, which are extremely air sensitive, remain isolated from air.

Additionally, the seal is resistant to high temperatures and does not interfere with the measurement of the level or with the content of the vessel. The elastomeric material which may coat the probe assists in providing total inertness to ensure that the purity of the precursor is maintained. Furthermore, the probe is less fragile than the glass probes previously provided. This is important because of rough transport

handling. It is essential that the probes should not break since, due to the nature of the products inside the bubbler, any breakage could lead to a leak and possibly fire.

The sealing of the probe into a mounting to provide a leak tight join to a vessel, in particular a bubbler body, thus creating an ultrahigh purity, high integrity, robust container suitable for placing organometallic precursors in, has never before been achieved. The sealed unit has been shown to withstand high pressure and be shock resistant on dropping and does not contaminate the products in any way even at ppm impurity levels. This is essential for a probe that is to continuously indicate organometallic precursor levels in a stainless steel high integrity supply vessel (bubbler). No such probes are currently available that meet the required specifications of inertness, robustness, simplicity, reliability and accuracy. Furthermore, the provision of a capacitance that is formed as an integral part of a cap or mounting unit wherein the unit has the electrical connections for the probe, has not previously been described.

CLAIMS

1. An apparatus for monitoring the level of a liquid in a vessel, the apparatus comprising at least one metallic probe hermetically sealed within the vessel to act as a first electrode, a second electrode spaced apart from said first electrode to form a capacitor, means for applying an electric current to the capacitor and means for monitoring the capacitance thereof.
2. An apparatus as claimed in claim 1 wherein the vessel itself is a metallic container and acts as the second electrode.
3. An apparatus as claimed in claim 1 or claim 2 wherein the probe is made of stainless steel.
4. An apparatus as claimed in claim 1, claim 2 or claim 3 wherein one end of the probe is encased within a glass material for sealing within the vessel.
5. An apparatus as claimed in claim 4 wherein the glass material is a borosilicate glass.
6. An apparatus as claimed in any one of claims 1 to 5 wherein the probe is hermetically sealed within a port provided at the top of the vessel.
7. An apparatus as claimed in claim 6 wherein the probe is sealed within a mounting or cap that is inserted into the port of the vessel.
8. An apparatus as claimed in claim 7 wherein the mounting is provided with electrical connections for the probe.
9. An apparatus as claimed in any one of the preceding claims wherein the probe is provided with a coating of an elastomeric material over at least the part that extends from the seal.

10. An apparatus as claimed in any one of claims 4 to 9 wherein at least the part of the probe that is encased within a glass material comprises a nickel alloy.
11. An apparatus as claimed in claim 10 wherein the alloy is an Inconel or Kovar alloy.
12. An apparatus as claimed in claim 10 or claim 11 wherein the alloy contains aluminium and/or titanium.
13. An apparatus as claimed in claim 12 wherein the alloy is Inconel X-750.
14. An apparatus as claimed in any one of claims 7 to 13 wherein the mounting is made of a nickel alloy.
15. An apparatus as claimed in claim 14 wherein the alloy is Inconel X-750.
16. An apparatus as claimed in any one of the preceding claims further comprising monitoring means for measuring a change in capacitance.
17. An apparatus as claimed in claim 16 further comprising a recorder for recording a change in capacitance.
18. An apparatus as claimed in claim 17 further comprising display means for displaying the level of liquid in the vessel.
19. An apparatus as claimed in any one of the preceding claims further comprising calibration means for calibration of the apparatus whereby a particular capacitance corresponds to a particular volume of liquid within the vessel.
20. An apparatus as claimed in any one of the preceding claims for use in monitoring the level of organometallic compounds.

21. An apparatus as claimed in any one of the preceding claims wherein the container is a bubbler.
22. A bubbler containing an organometallic compound, the bubbler comprising a sealed metallic container having an inlet pipe, and outlet pipe and a dip tube and further comprising a metallic probe hermetically sealed within the container, the container and the probe forming a capacitor, means for applying an electric current to the capacitor and monitoring means for measuring the capacitance thereof.
23. A method for monitoring the level of a liquid in a vessel, the method comprising the steps of inserting at least one metallic probe into a vessel to act as a first electrode, hermetically sealing the probe within the vessel, providing a further electrode to form a capacitor, applying an electric current to the capacitor and monitoring the capacitance thereof.
24. An apparatus substantially as hereinbefore described and with reference to Figures 1 and 2 or Figures 5 and 6 of the accompanying drawings.

ABSTRACT (Figure 1)

Title: A Method and Apparatus for Monitoring Liquid Levels within a Vessel

A method and apparatus for monitoring liquid levels within a vessel, particularly the level of an organometallic compound within a bubbler. A metallic probe (10) is hermetically sealed within a mounting that is sealed within the port of the vessel (2). The part of the probe that is sealed within the mounting is made of a nickel alloy and encased in a glass material by a metal to glass matched seal.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.